

# Resource Constrained Programming with Full Dependent Types

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IRIF, 23rd January 2020

## Goal of this talk —

- To extend Implicit Computational Complexity to Dependent Types
- Motivations:
  - Certified resource constrained programming
  - Resource constrained mathematics?
- Using *Quantitative Type Theory*.

# Programming in Type Theory

## Programming in Type Theory —

$\vdash M : \text{Vec Nat } 5$

$\vdash \text{check} : (t : \text{Tm}) \rightarrow (a : \text{Ty}) \rightarrow (\vdash' t a) + \neg(\vdash' t a)$

- An expressive typed functional language
- Implemented in Agda, Coq, Idris, Lean, ...

## Programming in Type Theory —

```
data Vec (A : Set) : Nat → Set where  
  nil : Vec A 0  
  cons : (n : Nat) → A → Vec A n → Vec A (S n)
```

- Standard compilation scheme —
- cons constructor has three arguments
- Space consumption is  $O(n^2)$ !

Type Theory is tough to compile —

- Extraneous data must be erased  
e.g., sizes in vectors
- The compilation target is assumed to have unlimited capacity —
  - In time and space

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## Constrain the resources required —

- Use *Quantitative Type Theory* to control resource usage
- Use Implicit Computational Complexity ideas to restrict time complexity

# Two Systems by Martin Hofmann



## Implicit Computational Complexity —

- Capturing complexity classes via restricted functional languages
- Closely related to static analysis for resource consumption
- Going to look at two systems by Martin Hofmann:
  - LFPL “*Linear Function Programming Language*”
  - Amortised Resource Analysis
- Controlling (nested) iteration is the key.

## Warm-up: Linear time system —

- In the linear  $\lambda$ -calculus
- A natural number iterator:

$$\frac{\Gamma_1 \vdash M_z : A \quad x : A \vdash M_s : A \quad \Gamma_2 \vdash N : \text{Nat}}{\Gamma_1, \Gamma_2 \vdash \text{iter}_A(M_z, x.M_s, N) : A}$$

- Once we iterate over some number  $N$  it is *used up*

## Warm-up: Linear time system —

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- Once we iterate over some number  $N$  it is *used up*
- No way to construct new “iterable” natural numbers
  - If we could, then could easily duplicate Nats
  - then iterate to get multiplication
  - and again to get exponentiation
- Can have other constructable data that is not iterable:  $\text{Nat}^\circ$

## LFPL: extending to polynomial time

- Introduce a type  $\diamond$ , representing a chunk of iterability
- Building a Nat requires  $\diamond$ s:

$$0 : \diamond \multimap \text{Nat}$$

$$S : \diamond \multimap \text{Nat} \multimap \text{Nat}$$

- Iteration gives you them back:

$$\frac{\Gamma_1, d : \diamond \vdash M_z : A \quad x : A, d : \diamond \vdash M_s : A \quad \Gamma_2 \vdash N : \text{Nat}}{\Gamma_1, \Gamma_2 \vdash \text{iter}_A(d.M_z, x d.M_s, N) : A}$$

- Conservation of iterability

## Iterating a function —

- Assume we have a function  $f: A \multimap A$   
e.g., one step of a Turing machine
- Linear  $\binom{n}{1}$  iterations:

$$\text{iter}(\dots) : \text{Nat} \otimes A \multimap \text{Nat} \otimes A$$

Reconstruct the Nat on the way through

- $\binom{n}{2}$  iterations:

$$\text{iter}(\dots) : \text{Nat} \otimes A \multimap \text{Nat} \otimes A$$

Reconstruct the Nat on the way through

Do a nested linear iteration on the reconstructed number

- $\binom{n}{3}$  iterations: Iterate the above

## Iterating a function —

- Obtain a  $\binom{n}{k}$  iterator for any  $k$
- And get the original number back!
- Chain them together to get any polynomial:

$$p(n) = \sum_{i=0}^k p_i \binom{n}{k}$$

- So we get polytime completeness

## Amortised Resource Analysis —

(Hofmann & Jost, POPL 2003)

- Reinterpret  $\diamond$  as the cost of a step of iteration
- Inspired by Tarjan's *amortised complexity analysis*
  - storing potential inside data structures
- Building a Nat still requires  $\diamond$ s:

$$0 : \diamond \multimap \text{Nat}$$

$$S : \diamond \multimap \text{Nat} \multimap \text{Nat}$$

- But iteration no longer gives you them back:

$$\frac{\Gamma_1 \vdash M_z : A \quad x : A \vdash M_s : A \quad \Gamma_2 \vdash N : \text{Nat}}{\Gamma_1, \Gamma_2 \vdash \text{iter}_A(M_z, x.M_s, N) : A}$$

- Back to linear time...

## More flexibility

- Annotate data structures with number of  $\diamond$ s per constructor

$$\text{Nat}^p$$

- Duplication:

$$\text{Nat}^{p_1+p_2} \dashv\vdash \text{Nat}^{p_1} \otimes \text{Nat}^{p_2}$$

- Hofmann & Jost used linear programming to infer the  $p$ s



Regaining polynomial time — (Hoffmann & Hofmann, ESOP 2010)

- Annotate with sequences of naturals:

$$\text{Nat}^{(p_1, \dots, p_k)}$$

- Interpretation is that

$$\sum_{i=1}^k p_i \binom{n}{i}$$

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- Iterator:

$$\frac{\Gamma_1 \vdash M_z : A \quad n : \text{Nat}^{(p_1+p_2, p_2+p_3, \dots, p_k)}, d : \diamond^{p_1}, x : A \vdash M_s : A \quad \Gamma_2 \vdash N : \text{Nat}^{(p_1+1, \dots, p_k)}}{\Gamma_1, \Gamma_2 \vdash \text{iter}(M_z, n \ d \ x.M_s, N) : A}$$

Adapting these systems to dependent types

# Dependency and Accountancy

*In Martin-Löf Type Theory*

$$x_1 : S_1, \dots, x_n : S_n \vdash M : T$$

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variables  $x_1, \dots, x_n$  are mixed usage

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$x$  is used *computationally*

$n$  is used *logically*

*In Linear Logic*

$$x_1 : X_1, \dots, x_n : X_n \vdash M : Y$$

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## *In Linear Logic*

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Enables:

1. Insight into computational behaviour
2. e.g., time complexity

$n : \text{Nat}, x : \text{Fin}(n) \vdash x : \text{Fin}(n)$

Can we read this judgement linearly?

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- ▷  $n$  appears *twice* in types

Is  $n$  even used at all?



$n : \text{Nat} \mid x : \text{Fin}(n) \vdash x : \text{Fin}(n)$

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*will come back to this...*

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*will come back to this...*

(Barber, 1996)

(Cervesato and Pfenning, 2002)

(Krishnaswami, Pradic, and Benton, 2015)

(Vákár, 2015)

Separation interferes with dependency:

$$n : \text{Nat} \mid x : \text{Fin}(n) \vdash (x, \text{refl}(x)) : (y : \text{Fin}(n)) \times (x \equiv y)$$

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$$n : \text{Nat}, x : \text{Fin}(n) \mid \hat{x} : \hat{\text{Fin}}(n, x) \vdash (x, \hat{x}, \text{refl}(x)) : (y : \text{Fin}(n)) \times \hat{\text{Fin}}(n, y) \otimes (x \equiv y)$$

Quantitative Coeffect calculi:

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- ▷ The  $\rho_i$  record usage from some semiring  $R$ 
  - .  $1 \in R$  – a use
  - .  $0 \in R$  – not used
  - .  $\rho_1 + \rho_2$  – adding up uses (e.g., in an application)
  - .  $\rho_1 \rho_2$  – nested uses



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(Petricek, Orchard, and Mycroft, 2014)

(Brunel, Gaboardi, Mazza, and Zdancewic, 2014)

(Ghica and Smith, 2014)

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$$x_1^{\rho_1} \vdash S_1, \dots, x_n^{\rho_n} \vdash S_n \vdash M^\sigma \vdash T$$

where  $\sigma \in \{0, 1\}$ .

- ▷  $\sigma = 1$  – the “real” computational world
- ▷  $\sigma = 0$  – the types world

(allowing arbitrary  $\rho$  yields a system where substitution is inadmissible)

Can we adapt this idea to dependent types?

McBride's idea:

- ▷ allow 0-usage data to appear in types.

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$$x_1 \overset{\rho_1}{:} S_1, \dots, x_n \overset{\rho_n}{:} S_n \vdash M \overset{\sigma}{:} T$$

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Zero-ing is an admissible rule:  $\frac{\Gamma \vdash M \overset{1}{:} T}{0\Gamma \vdash M \overset{0}{:} T}$  allowing promotion to the type world.

# Quantitative Type Theory

*Contexts*

$$\frac{}{\diamond \vdash} \text{EMP}$$

$$\frac{\Gamma \vdash \quad \emptyset \Gamma \vdash S}{\Gamma, x^{\rho} : S \vdash} \text{EXT}$$

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*Types*

$$0\Gamma \vdash S$$



*Contexts*

$$\frac{}{\diamond \vdash} \text{EMP}$$

$$\frac{\Gamma \vdash \quad \emptyset\Gamma \vdash S}{\Gamma, x^{\rho} : S \vdash} \text{EXT}$$

*Types*

$$\emptyset\Gamma \vdash S$$

*Terms*

$$\frac{\emptyset\Gamma, x^{\sigma} : S, \emptyset\Gamma' \vdash}{\emptyset\Gamma, x^{\sigma} : S, \emptyset\Gamma' \vdash x^{\sigma} : S} \text{VAR}$$

$$\frac{\Gamma \vdash M^{\sigma} : S \quad \emptyset\Gamma \vdash S \equiv T}{\Gamma \vdash M^{\sigma} : T} \text{CONV}$$

$\Pi$ -type formation

$$\frac{0\Gamma \vdash S \quad 0\Gamma, x^0 : S \vdash T}{0\Gamma \vdash (x^\pi : S) \rightarrow T}$$

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$$\frac{0\Gamma \vdash S \quad 0\Gamma, x^0 : S \vdash T}{0\Gamma \vdash (x^\pi : S) \rightarrow T}$$

$\Pi$ -type introduction and elimination

$$\frac{\Gamma, x^{\sigma\pi} : S \vdash M^\sigma : T}{\Gamma \vdash \lambda x^\pi : S. M^\sigma : (x^\pi : S) \rightarrow T}$$

$$\frac{\Gamma_1 \vdash M^\sigma : (x^\pi : S) \rightarrow T \quad \Gamma_2 \vdash N^{\sigma'} : S \quad 0\Gamma_1 = 0\Gamma_2 \quad \sigma' = 0 \Leftrightarrow (\pi = 0 \vee \sigma = 0)}{\Gamma_1 + \pi\Gamma_2 \vdash M N^\sigma : T[N/x]}$$

## Integrating Resource Constraints into QTT

## A suitable semiring for affine linearity?

- Carrier:  $\{0, 1, \omega\}$
- Ordered:  $\omega < 1 < 0$
- Operations:

+	0	1	$\omega$
0	0	1	$\omega$
1	1	$\omega$	$\omega$
$\omega$	$\omega$	$\omega$	$\omega$

·	0	1	$\omega$
0	0	0	0
1	0	1	$\omega$
$\omega$	0	$\omega$	$\omega$

- Would admit an unrestricted ! modality.

## Strict resource counting

- Carrier:  $\mathbb{N}$
- Ordered:  $\dots < 2 < 1 < 0$
- Operations: normal operations on  $\mathbb{N}$

Diamonds —

$$\frac{\Gamma \vdash}{0\Gamma \vdash \diamond} \text{TY-DIA}$$

$$\frac{0\Gamma \vdash}{0\Gamma \vdash * \overset{0}{:} \diamond} \text{TM-DIA}$$

— In the  $\sigma = 0$  fragment,  $\diamond$ s are free.

## LFPL —

- Natural number introduction

$$\frac{\Gamma \vdash d : \diamond^\sigma}{\Gamma \vdash \text{zero}@d : \text{Nat}^\sigma}$$

$$\frac{\Gamma \vdash d : \diamond^\sigma \quad \Gamma \vdash n : \text{Nat}^\sigma}{\Gamma \vdash \text{succ}(n)@d : \text{Nat}^\sigma}$$

- Natural number elimination ( $\sigma = 1$  case)

$$\frac{\begin{array}{l} \Gamma, x : \text{Nat} \vdash A \quad \Gamma_1, d : \diamond^1 \vdash M_z : A\{\text{zero}@ * / x\} \\ d : \diamond^1, n : \text{Nat}, r : A\{n/x\} \vdash M_s : A\{\text{succ}(n)@ * / x\} \quad \Gamma_2 \vdash N : \text{Nat} \quad \Gamma_1 + \Gamma_2 = \Gamma \end{array}}{\Gamma \vdash \text{iter}(x.A, d.M_z, d n r.M_s, N) : A\{N/x\}}$$

- Crucial:  $n$  is not available for computational use in  $M_s$ .



## Encoding lists

- Define (in  $\sigma = 0$  fragment):

$$\text{Vec } A : \text{Nat} \rightarrow \text{Set}$$

by iteration on the natural number.

- Lists:

$$\text{List } A = (n : \text{Nat}) \otimes \text{Vec } A \ n$$

## Amortised Analysis

- Unrestricted introduction rules for natural numbers:

$$\frac{\Gamma \vdash}{\Gamma \vdash \text{zero} \overset{\sigma}{:} \text{Nat}}$$

$$\frac{\Gamma \vdash N \overset{\sigma}{:} \text{Nat}}{\Gamma \vdash \text{succ}(N) \overset{\sigma}{:} \text{Nat}}$$

- Postulate:

$$\diamond^{(p_1, \dots, p_k)} : \text{Nat} \rightarrow \text{Set}$$

- with:

$$\text{split} : (n \overset{0}{:} \text{Nat}) \rightarrow \diamond^{(p_1+p'_1, \dots, p_k+p'_k)}(n) \multimap \diamond^{(p_1, \dots, p_k)}(n) \otimes \diamond^{(p'_1, \dots, p'_k)}(n)$$

$$\text{join} : (n \overset{0}{:} \text{Nat}) \rightarrow \diamond^{(p_1, \dots, p_k)}(n) \otimes \diamond^{(p'_1, \dots, p'_k)}(n) \multimap \diamond^{(p_1+p'_1, \dots, p_k+p'_k)}(n)$$

$$\text{shift} : (n \overset{0}{:} \text{Nat}) \rightarrow \diamond^{(p_1, \dots, p_k)}(\text{succ}(n)) \multimap \diamond^{(p_1+p_2, \dots, p_k)}(n)$$

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- Natural number elimination ( $\sigma = 1$  case)

$$\frac{\begin{array}{l} 0\Gamma, x : \text{Nat} \vdash A \quad \Gamma_1 \vdash M_z \overset{1}{:} A\{\text{zero}/x\} \quad n \overset{1}{:} \text{Nat}, r \overset{1}{:} A\{n/x\} \vdash M_s \overset{1}{:} A\{\text{succ}(n)/x\} \\ \Gamma_2 \vdash N \overset{1}{:} \text{Nat} \quad \Gamma_3 \vdash D \overset{1}{:} \diamond^{(1)}(N) \quad \Gamma_1 + \Gamma_2 + \Gamma_3 = \Gamma \end{array}}{\Gamma \vdash \text{iter}(x.A, M_z, n.r.M_s, N) : A\{N/x\}}$$

- $n$  is available for use in  $M_s$
- Pay up front for the iteration with  $D$
- Get nested iteration by passing in enough  $\diamond$ s to pay for it

$$A[n] = \diamond^{(p_1, \dots, p_k)}(n) \multimap B[n]$$

## Semantic Interpretation : Soundness

## Quantitative Category with Families

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Computational and extensional content
2. Category  $\mathcal{C}$  for interpretation contexts and simultaneous substitutions  
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6. Semantic terms, resourced and unresourced:

$$\begin{aligned} M &\in \text{Tm}(\Delta, S), & \Delta &\in \text{Ob}\mathcal{C}, S \in \text{Ty}(\Delta) \\ M &\in \text{RTm}(\Gamma, S), & \Gamma &\in \text{Ob}\mathcal{L}, S \in \text{Ty}(U\Gamma); \end{aligned}$$

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7. Semantic zero-ing:  $U: \text{RTm}(\Gamma, S) \rightarrow \text{Tm}(U\Gamma, S)$ ;

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7. Semantic zero-ing:  $U: \text{RTm}(\Gamma, S) \rightarrow \text{Tm}(U\Gamma, S)$ ;
8. Resourced counterparts of substitution and comprehension, preserved by  $U$ .

1. Let  $\mathcal{A}$  be an  $\mathcal{R}$ -LCA
2. Let  $\mathcal{C} = \text{Set}$ , category of sets and functions
3. Let  $\mathcal{L}$  be:
  - ▶ Objects:  $(|\Gamma|, \models_{\Gamma})$ , where  $|\Gamma|$  is a set, and  $\models_{\Gamma} \subseteq \mathcal{A} \times |\Gamma|$ ;
  - ▶ Morphisms:  $f: |\Gamma_1| \rightarrow |\Gamma_2|$ , for which there exists an  $a_f \in \mathcal{A}$  such that for all  $x, a_x, a_x \models_{\Gamma_1} x$  implies  $a_f \cdot a_x \models f(x)$ .

*sets with computational information*
4.  $U: \mathcal{L} \rightarrow \mathcal{C}$  forgets the computational information
5. Types  $S \in \text{Ty}(\Delta)$  include computational information, but:

*only depend on non-computational part*
6. Terms  $M \in \text{RTm}(\Gamma, S)$  are tracked by realisers from  $\mathcal{A}$
7. Terms  $M \in \text{Tm}(\Delta, S)$  are set theoretic functions

Read constructively, yields an “efficient” compilation method for QTT, which respects and uses the usage information.

“Length” models       $\sim$ (Hofmann, 2003)

▶  $\mathcal{A} = \mathbb{N}$

▶ Application:

$$a \cdot b = a + b$$

▶ Combinators:

$$B = 0$$

$$C = 0$$

$$I = 0$$

▶ “Precious” booleans:

$$\diamond = 1$$

$$T = 1$$

$$F = 1$$

▶ Have to restrict interpretation of terms to always be 0

- $\mathbb{N}_{-\infty}$  a category with objects  $\mathbb{N} \cup \{-\infty\}$  and  $m \rightarrow n$  if  $m \leq n$ , with  $-\infty \leq n$ 
  - Strict symmetric monoidal category with  $(+, 0)$
- A resource monoid  $M$  is a  $\mathbb{N}_{-\infty}$  enriched strict symmetric monoidal category.
- Assume a model of computation with a cost model:

$$e, \eta \Downarrow_k v$$

Expressions  $\mathcal{E}$  and values  $\mathcal{V}$ .

- Realisers are pairs  $\alpha, v \in M \times \mathcal{V}$
- Functions  $f: \Gamma_1 \rightarrow \Gamma_2$ :
  - $f: |\Gamma_1| \rightarrow |\Gamma_2|$
  - $e \in \mathcal{E}, \gamma \in M$
  - for all  $\alpha, v, a$ .  $\alpha, v \models_{\Gamma_1} a$  implies
    - exists  $\beta, k, v'$  s.t.  $e, [v] \Downarrow_k v'$  and  $\beta, v' \models_{\Gamma_2} f(a)$  and  $k \leq M(\alpha + \gamma, \beta)$

## Resource Monoids

- For LFPL:  $M \ni (n, f)$ , where
  - $n \in \mathbb{N}$  is the amount of iterability (number of  $\diamond$ s)
  - $f$  is a polynomial with  $\mathbb{N}$  coefficients
  - Cost differencing:

$$M((n, f), (m, g)) = \begin{cases} g(m) - f(m) & n \leq m \text{ and } (g - f) \text{ is non-negative and non-decreasing} \\ -\infty & \geq m \\ & \text{otherwise} \end{cases}$$

- $(n, 0), * \models_{\diamond} *$  if  $n \geq 1$ .

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- For Amortised Analysis: restrict  $f$  to be degree  $\leq 1$
- Cost is accounted for directly by the  $\diamond$ s



Internalising Resource Reasoning?

Intuition:

$$\frac{0\Gamma \vdash A}{0\Gamma \vdash R(A)}$$

is the type of “efficiently” realisable programs of type  $A$ .

For LFPL:  $R(\text{Nat} \multimap \text{Nat}^\circ) = \text{Poly time functions}$

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*Introduction and Elimination*

$$\frac{0\Gamma \vdash a \overset{1}{:} A}{0\Gamma \vdash r(a) \overset{\sigma}{:} R(A)}$$

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E.g.

$$\frac{0\Gamma \vdash M : (x : A) \rightarrow B}{0\Gamma \vdash r(M) : R((x : A) \rightarrow B)}$$

means “the function  $M$  is realisable in the underlying computational model”.

Interpretation:

$$\llbracket R(A) \rrbracket \gamma = \{a \in \llbracket A \rrbracket \gamma \mid \exists x \in \mathcal{A}. x \Vdash_{A(\gamma)} a\}$$

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with definition of  $\models_A$  above, capture polytime functions as a type.

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with definition of  $\models_A$  above, capture polytime functions as a type.

Note:  $R(A)$  must be a proposition – cannot allow intensional information to be used to make decisions in the extensional world!

## Summary



- ▷ Quantitative Type Theory:  
Fixed and extended formulation of McBride's "Plenty o' Nuttin' " system
- ▷ Quantitative Type Theory for ICC  
Dependently typed version of LFPL and Amortised Analysis
- ▷ Categorical and Realisability models
- ▷ Goal: to combine resource-ful and resource-less programming and reasoning.

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### *Related Work*

- ▶ Sized types  
Used for controlling well foundedness  
For complexity analysis require "tick" monads
- ▶ Gaboardi and Dal Algo: Linear Dependent Types for ICC  
Dependent Types only for counting time
- ▶ Future:
  - ▶ Soft linear logic, LAL, EAL
  - ▶ Polytime mathematics?